

sowell

managementservices

SMS Client Profile

Fill out this form and submit it through your secure document portal and SMS will pre-fill paperwork documents for you and your client.

Rep Name _____ Paperwork Needed By (mm/dd/yy) _____

SMS Existing Client Yes No

Pricing: Asset Based Transaction Based

ACCOUNT TYPE

- Traditional IRA Individual Brokerage
- Rollover IRA Joint
- ROTH IRA Other:
- Trust (will need Trust Documents)

Funding Source

Transfer of assets
(Include copy of most recent statement)

Other: _____

Acct. Value _____

Notes:

	Primary Account Holder/Trustee Info	Secondary Account Holder/Trustee Info
First Name		
Last Name		
DOB		
SSN		
Email		
Address		
Phone		
Employer/Occupation/Type of Business		
Trust Name & Date		
TIN & State Where Organized		
List Trustee(s)		
Grantor		

Beneficiary Information					
Name	Relationship	Primary/Contingent	SSN	DOB	Percentage

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