



managementservices

Addendum to SMS Investment Advisor Agreement Management Style Change

Fill out this form and submit it through your secure document portal.

Please Change the Money Management Style on the following accounts:

Account #: _____ Client Name: _____

Old Management Style: _____ New Management Style: _____

Old Management Fee: _____ New Management Fee: _____

I have confirmed via Sowell Management Services that this style is available and meets account minimums.

Asset Based Pricing Transaction Based Pricing

Instructions:

Note: If the management style has changed due to the result of a change in client Investment Objectives, please call Sowell Management Services at 501-219-2434.

Process:

Timeframe – The processing of this addendum is completed as quickly as practical, but may involve reasonable delays. Most trades can be completed within two business days; however, a five-business day timeframe is considered reasonable.

This addendum dated this _____ day of _____, 20 _____.

Client Name (print)

Co-Client Name (print)

Client Signature Date

Co-Client Signature Date

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